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OCT 20 2004

Examiner: Not yet assigned

FROM: Margaret Efron

COMPANY: U.S. Patent Office
Art Unit 3736

DATE: October 20, 2004

FAX NO.: (703) 872-9306

NO. OF PAGES
(INCLUDING COVER SHEET): 11

SUBJECT/MESSAGE:

Re: Serial No. 10/788,501; filed February 26, 2004
Attorney Docket No. UF-270C2

- 1) Preliminary Amendment (9 pages); and
- 2) Amendment Transmittal Letter authorizing charge to applicants deposit account for extra claims.

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AMENDMENT TRANSMITTAL LETTER			ATTORNEY'S DOCKET NO. UF-270C2
SERIAL NO. 10/788,501	FILING DATE February 26, 2004	EXAMINER Not yet assigned	GROUP ART UNIT 3736
INVENTION System and Method for Therapeutic Drug Monitoring			RECEIVED CENTRAL FAX CENTER OCT 20 2004

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 CFR 1.27 has been established by a verified statement previously submitted.
- ☒ Applicant claims small entity status.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- ☐ No additional fee is required.
- ☒ The fee has been calculated as shown below:

(1)		(2)		(3)	SMALL ENTITY		<u>OR</u>	OTHER THAN A SMALL ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE		RATE	ADDIT. FEE
TOTAL	* 34	MINUS	** 32	2	\$ 09	\$ 18.00		\$18	\$0.00
INDEP.	* 2	MINUS	*** 2	0	\$44	\$.00		\$88	\$0.00
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				0	\$300	\$ 0.00		\$290	\$0.00
					Total addit. fee	\$ 18.00	<u>OR</u>	Total addit. fee	\$0.00

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the Highest No. Previously Paid For IN THIS SPACE is less than 20, enter "20."

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The Highest No. Previously Paid For (Total or Indep.) is the highest number found in the appropriate box in Col. 1.

- ☒ Please charge my Deposit Account No. 19-0065 in the amount of \$ 18.00.
- ☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-0065. Two additional copies of this paper are enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

October 20, 2004

(date)


(signature) Margaret Efron, Reg. No. 47,545

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I hereby certify that this correspondence is being
facsimile transmitted to the United States Patent
and Trademark Office on the date shown below:

October 20, 2004
Margaret Efron, Patent Attorney

PRELIMINARY AMENDMENT

UNDER 37 C.F.R. §1.111

Patent Application

Examining Group 3736

Docket No. UF-270C2

Serial No. 10/788,501

Confirmation No. 7441

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : *Not yet assigned*
Art Unit : 3736
Applicants : Richard J. Melker, James Chris Sackellares, Mark S. Gold
Serial No. : 10/788,501
Filed : February 26, 2004
Conf. No. : 7441
For : System and Method for Therapeutic Drug Monitoring

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT UNDER 37 CFR §1.111

Sir:

Amendments to the Claims are reflected in the listing of claims beginning on page 2 of this
paper.

Remarks/Arguments follow the amendment sections of this paper.

J:\SH-RESP\UF\UF-270C2.prelamend2.doc/la